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In re the Application of: **Tomoharu NAKANO et al.**

Group Art Unit: 1755

Application Number: **10/552,606**

Examiner: **Not yet assigned**

Filed: **April 6, 2007**

Confirmation Number: **6380**

For: **POLISHING LIQUID FOR CMP PROCESS AND POLISHING METHOD**

Attorney Docket Number: **052463**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97(b)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

June 13, 2007

Sir:


In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

Documents 1-4 were cited in an Office Action for a corresponding Chinese application. A copy of the Office Action with an English translation is also enclosed.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

  
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Limited Recognition

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Enclosures: Limited Recognition  
PTO/SB/08  
Foreign Communication  
4 Documents



|   |   |    |   |                          |                        |
|---|---|----|---|--------------------------|------------------------|
| <b>Combined Form PTO/SB/08A&amp;B</b><br><br><b><u>INFORMATION DISCLOSURE</u></b><br><b><u>STATEMENT BY APPLICANT</u></b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <i>Complete if Known</i> |                        |
|   |   |    |   | Application Number       | 10/552,606             |
|   |   |    |   | Confirmation Number      | 6380                   |
|   |   |    |   | Filing Date              | April 6, 2007          |
|   |   |    |   | First Named Inventor     | Tomoharu NAKANO et al. |
|   |   |    |   | Art Unit                 | 1755                   |
|   |   |    |   | Examiner Name            | Not yet assigned       |
|   |   |    |   | Attorney Docket Number   | 052463                 |
| Sheet   | 1 | of | 1 |                          |                        |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
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| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                      |                                |   |                          |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
|                          | 1                     | CN                        | 1395295             | A                                    | 02-05-2003                     | Hitachi Ltd.                                    | No                       |
|                          | 2                     | CN                        | 1185471             | A                                    | 06-24-1998                     | Fujimi Incorporated                             | No                       |
|                          | 3                     | WO                        | 03/032379           | A1                                   | 04-17-2003                     | Hitachi Chemical Co., Ltd.                      | Abstract                 |
|                          | 4                     | CN                        | 1304968             | A                                    | 07-25-2001                     | Tsinghua University                             | No                       |
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| NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
|---------------------------------|-----------------------|--|--------------------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|                                 | 5                     | Chinese Office Action dated April 27, 2007 of CN 200480012569.4  | Yes                      |
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| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.